



3D MRI-based Predictive Control of a Ferromagnetic Microrobot Navigating in Blood Vessels

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Abstract—This paper presents an endovascular navigation of a ferromagnetic microdevice using a MRI-based predictive control. The concept was studied for future development of microrobot designed to perform minimally invasive interventions in remote sites accessible through the human cardiovascular system. A system software architecture is presented illustrating the different software modules to allow 3D navigation of a microdevice in blood vessels, namely: (i) vessel path extraction, (ii) magnetic gradient steering, (iii) tracking and (iv) closed-loop navigation control. First, the navigation path of the microrobot into the blood vessel is extracted using Fast Marching Method (FMM) from the pre-operation images (3D MRI imaging) to guide the microrobot from the injection point to the tumor area through the anarchic vessel network. Based on the pre-computed path, a Model Predictive Controller (MPC) is proposed for robust time-multiplexed navigation along a 3D path in presence of pulsative flow. The simulation results suggest the validation of the proposed image processing and control algorithms.

I. INTRODUCTION

Microrobots designed to perform targeted therapy by navigating in the cardiovascular system are a prolific research area for minimally invasive surgeries [1][2] and treatments efficiency through early diagnosis of diseases [3]. When microrobots are propelled in the body fluids, especially in the blood circulatory system, a very large number of remote locations in the human body become accessible. Because the method of propulsion should allow such a microrobot to navigate through the cardiovascular system, the use of the normal blood flow itself must be considered only as a complementary means of propulsion when the travel path is in the direction of the blood flow. These untethered microrobots have been mainly developed according to three different designs: magnetic bead pulling [2], biomimetic flagellated robot [4] and magnetotactic bacteria [5]. Furthermore, navigation requires observation of the scene in order either to plan the trajectory by off-line mapping, or to correct on-line the microrobot's pose error between the planned and the observed trajectory. Recently, magnetic resonance imaging (MRI)-based medical microrobotic platforms are investigated to reach locations deep in the human body while enhancing targeting efficacy using real-time navigational and trajectory control [6]. For the position recognition of the microrobot in the blood vessels, from the pre-operation images, 3D path planning and route optimization solutions have been proposed. The authors in [7] proposed an endovascular path-planning method based on 3D potential

fields and enhanced breath-first search algorithms based on MR-imaging. Based on these path-planning techniques, only explorative 2D control strategies have been adopted so far using simple proportional-integral-derivative (PID) controller [8]. However, stability and robustness are not ensured against important perturbations. First, pulsatile flow whose variations in waveform, amplitude, and frequency exists from one vessel to another. Second, variation of time-multiplexed sequence parameters (duty cycle of the propulsion gradients, and repetition time of the tracking sequence) produce important trajectory errors during real-time navigation. Finally, random imaging signal noise degrades the localization of the microrobot during tracking.

The main objective of this paper is to propose an automated technique based on image processing and control algorithms for path finding, reconstruction and navigation control of a ferromagnetic microrobot using an MRI system. The MRI-based control of a ferromagnetic microcapsule presented here is dedicated to macroscale navigation, which focuses in conveying the device in vessels such as arteries and arterioles. Based on slice images provided by an MRI system, relevant information related to detection of blood vessels is extracted using robust Frangi vesselness filtering from the pre-operation images. Then, a set of minimal trajectory is predefined, using Fast Marching Method (FMM), to guide the ferromagnetic microrobot from the injection point to the tumor area through the anarchic vessel network. Based on the precomputed path, a Model Predictive Controller (MPC) is designed for robust time-multiplexed navigation along a 3D path in presence of pulsative flow. The simulation results suggest the validation of the proposed image processing and control algorithms.

II. MRI-BASED NAVIGATION SYSTEM

A. System Overview

The MRI-based microrobotic system is used here for propulsion and navigation of the micro-device. The propulsion of the ferromagnetic microcapsule in the cardiovascular system is realized through the induction of force from magnetic gradients provided by the MRI. This MRI system will guide the micro-capsule *in vivo* through vascular networks to a targeted area. The overview of the software system architecture is given in Fig. 1. (i) The graphical user interface module, which comprises input command prompt, 3D-visualization, and process supervision tools. (ii) The control module, which comprises (a) the high-level controller responsible for the microcapsule navigation tasks and for the generation of the magnetic field gradients and

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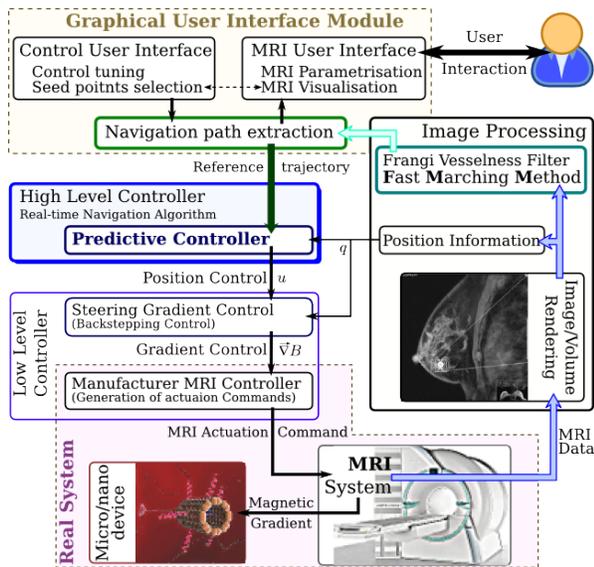


Fig. 1. Overall system overview.

(b) the low-level controller (manufacturer MRI controller) responsible for implementing the actuation commands for the generation of the desired field gradients and for the image acquisition tasks. Then, (iii) the controlled hardware, which comprises (a) the MRI hardware and software systems and (b) the microcapsules that have been injected within the vasculature and are navigated by the field gradients. Finally, (iv) the image processing module, which comprises the MRI image reconstruction and the image-processing software that estimates the position and accumulation of the microdevices within the vasculature, the tissues, and the organs of the human.

B. Navigation in blood vessels

In our context, the problem of navigation in blood vessels within the MRI data can be formulated as finding the correct way through the data which follows the vessel of interest between its start and end point. Finding a navigation path within the endovascular network is then an essential, primary, and important step which must be addressed prior to the control procedure. The problem of vessels extraction has received considerable attention in the computer vision and medical imaging communities [9]. Hence, several class of methods have been proposed to find a path from a set of medical imaging, such as using tracking methods [10] [6] [11], path extraction methods [12][13][14], and so on. Most works based on *in vivo* MR-tracking methods usually need many user-defined way points as the input of a controller module for the navigation computation. A major drawback in general remains when the user must define many points (e.g. way or fiducial points) manually. Hence, for a complex structure (e.g. colon, small vessels...) the required interactivity can be very tedious. As consequence, if the path is not correctly build, it can cross an anatomical wall during the *in vivo* navigation. The path extraction is useful for a range of application domains including medical image analysis, robot

navigation, and artificial intelligence. The path extraction technique needs a very simple initialisation and leads to global minimum of a snake-like energy, thus avoiding local minima. Moreover it is fast and accurate. This path finding problem has been studied for ages by mathematicians, and has been solved numerically using graph theory or dynamic programming. Cohen and Kimmel [15] solved the minimal path problem in 2D with a front propagation equation between the two fixed end points, using the Eikonal equation (that physically models wave-light propagation), with a given initial front. Wink *et al.*[13] explored different methods to determine the minimum cost path through a pre-defined cost image, for extraction of vessel centrelines from medical image data. Among them are Dijkstra's algorithm [16], the A* algorithm [17], which makes use of additional heuristics to steer the search process, and wave front propagation analysis [14]. Early, Sethian [18] explore the use of Fast Marching Method (FMM) to extract minimal paths. This method relies on the fact that the gradient of the FMM arrival function has only one local minimum, with is guaranteed to be global minimum [12]. Therefore the minimal path can be extracted by back-propagating from given seeds (e.g. the end point of the desired path) to the starting point implicitly embedded in the arrival function.

In this work the FMM is adopted to design a set of trajectory to guide the micro-device from the injection point to the tumor area through the vessel network. Our aim is to focus on the automation of the path construction, reducing the need of interaction and improving performance, in a robust way.

III. MRI-BASED PREDICTIVE CONTROL

A. Problem formulation

Navigation in blood vessel requires observation of the scene in order either to plan the trajectory by off-line mapping, or to correct online the microrobot pose error between the planned and the observed trajectory. To insure a smooth conveyance of the microcapsule to destination, collisions and the risk to be trapped by the endothelium, optimal navigation performance will be affected by external perturbations and MRI technological constraints:

- Nonnegligible pulsatile flow, whose variations in wave-form, amplitude, and frequency exist from one vessel to another (such as arteries and arterioles).
- Magnetic gradients are used both for observation and control purposes in a time-multiplexed sequence. It requires different trade-offs in terms of refresh rate, duty cycle of the propulsion gradients, and repetition time of the tracking sequence.
- MRI overheating avoidance leading to limitations on the MRI duty cycle, tends to increase the disproportional scaling between magnetic forces used for control purpose and perturbation forces (drag forces and net buoyancy forces).
- Limitations on the magnetic gradient amplitude in available MRI devices.

- Proper delay in the image processing algorithms that renders the navigation control unstable.

B. Real-Time Sequence Design

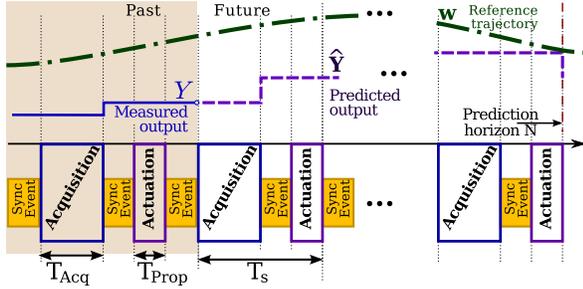


Fig. 2. Timeline of acquisition and control prediction.

The overall concept of the in-vivo MRI-tracking system is based on the fact that both tracking and propulsion is possible with the gradient coils of the MRI system. Software based upgrading of a clinical MRI system is the least expensive approach to convert a platform that is used for imaging to an effective interventional platform. At any instant only one of the functions could be applied (i.e. either tracking or propulsion), but both will be executed over the same MRI interface. The MRI interface has therefore to be shared and a time-division-multiple-access scheme for it has to be developed. Fig.4 shows an overview of the real-time sequence with time-multiplexed positioning and propulsion phases introduced by Martel *et al.* [6]. The main aspect relevant to the controller's performance is (i) the duty cycle T_{Prop}/T_s that stands for the ratio between the propulsion time and the time between two successive position requests, and (ii) the synchronization event delay T_{Sync} that stands for the minimum time allowed for image processing and real-time control feedback (see Fig. 2). First, the duty cycle should be adapted to apply sufficient magnetic propulsion gradients during a predefined propulsion time T_{Prop} to prevent the microrobot from drifting away from the trajectory. Second, a large time delay T_{Sync} produces oscillations as the microrobot approaches the reference trajectory w leading to position instabilities. Such limitations have been pointed out by Mathieu et al. [8] when implementing simple proportional-integral-derivative (PID) controller. We proposed a Model Predictive Controller (MPC) including microrobot's motion and dynamics with estimation of the pulsative blood flow and time-multiplexed positioning. A predictive trajectory-tracking control consider a prediction window (cf. Fig. 2). The propulsion phase starts during T_{Prop} seconds at the same initial condition as the prediction phase, recording the performance of the system according to a prediction horizon. After this phase the system ends after a imaging-propulsion sequence at a final position Y which is set as the new initial condition of the next prediction output \hat{Y} . The proposed navigation based predictive controller offers stability by design and allows the designer to trade-off performance for (computation) speed, stability margins according to the

MRI application and technological requirements outlined in section III-A.

C. Model description

The linear model that was used in this work, derived from the nonlinear model developed in a previous study [19]. In [19], we used this model to combine the backstepping controller and high gain observer in order to control the trajectory of microrobot inside a vessel using the MRI gradients, as shown on Fig. 4.

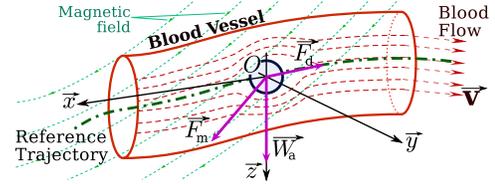


Fig. 3. Forces applied on microrobot navigating in blood vessel.

The different forces acting on the microrobot are (see Fig. 3): drag force \vec{F}_d , apparent weight \vec{W}_a and magnetic force \vec{F}_m . The application of Newton's third law and the projection on the $(\vec{x}, \vec{y}, \vec{z})$ axes leads to:

$$\begin{cases} m\ddot{x} = \vec{F}_{dx} + \vec{F}_{mx} \\ m\ddot{y} = \vec{F}_{dy} + \vec{F}_{my} \\ m\ddot{z} = \vec{F}_{dz} + \vec{F}_{mz} + \vec{W}_a \end{cases} \quad (1)$$

where m is the mass of the microrobot.

Let $\vec{v} = (v_{f_x}, v_{f_y}, v_{f_z})$ denotes the blood flow velocity, and (x, y, z) the robot location in the blood vessel wrt. to a given frame $\mathcal{F}(O, \vec{x}, \vec{y}, \vec{z})$. Taking the drag coefficient $C_d = \frac{24}{Re}$, the linear model can be written as follow:

$$\begin{cases} \ddot{x} = \alpha_1 (\dot{x} - v_{f_x}) + \alpha_2 u_x \\ \ddot{y} = \beta_1 (\dot{y} - v_{f_y}) + \beta_2 u_y \\ \ddot{z} = \gamma_1 (\dot{z} - v_{f_z}) + \gamma_2 u_z \end{cases} \quad (2)$$

with the following parameters α_i , β_i and γ_i , and the magnetic gradients considered as control inputs u_x , u_y and u_z , that is:

$$\begin{cases} \alpha_1 = -4.5 \frac{\eta \cos \phi \cos \theta}{r^2 \rho}, & u_x = \left\| \vec{\nabla} B_x \right\| \\ \beta_1 = -4.5 \frac{\eta \cos \phi \sin \theta}{r^2 \rho}, & u_y = \left\| \vec{\nabla} B_y \right\| \\ \gamma_1 = -4.5 \frac{\eta \sin \phi}{r^2 \rho}, & u_z = \left\| \vec{\nabla} B_z \right\| \\ \alpha_1 = \beta_2 = \gamma_2 = \frac{m}{\rho} \end{cases} \quad (3)$$

where ρ is the density of the fluid; η is the fluid viscosity; r is the spherical radius of the microrobot; and $\mathbf{B} = (B_x, B_y, B_z)^T$ is the magnetic field generate by the MRI system.

Finally, the state space representation is deduce from (2):

$$(S) \begin{cases} \dot{x} = v_x \\ \dot{v}_x = \alpha_1 v_x - \alpha_1 v_{f_x} + \alpha_2 u_x \\ \dot{y} = v_y \\ \dot{v}_y = \beta_1 v_y - \beta_1 v_{f_y} + \beta_2 u_y \\ \dot{z} = v_z \\ \dot{v}_z = \gamma_1 v_z - \beta_1 v_{f_z} + \gamma_2 u_z \end{cases} \begin{matrix} (S_x) \\ (S_y) \\ (S_z) \end{matrix} \quad (4)$$

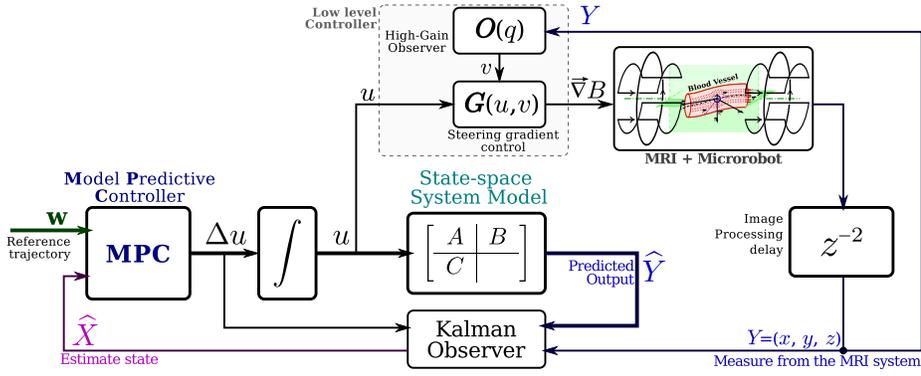


Fig. 4. MRI-based Predictive Control strategy.

where $(v_x, v_y, v_z)^T$ denote the robot velocity along \vec{x} -axis, \vec{y} -axis and \vec{z} -axis. Assuming that microrobot location (x, y, z) can be measured thanks to the MRI system, we denote by $Y = (x, y, z)^T$ the process measure. We can notice that system (S) can be divided into three subsystems (S_x), (S_y) and (S_z), which allow us to define three independents MPC schemes to track the reference trajectory w in 3D MRI data.

In this paper we aim to embed the system model (4) in high level a MPC scheme in order to follow efficiently a pre-planned path extracted with the method proposed in section II-B. Our controller is intended to be above our low level robust controller designed in [19] (see Fig. 4).

D. Predictive Control

Model Predictive Control (MPC) has become an area of significant research interest over the last twenty years. This interest has been powered by a stream of successful industrial applications [20]. When focusing on linear (and unconstrained) discrete time transfer function models and quadratic cost functions, some of the best known approaches include the Generalized Predictive Control (GPC) introduced by Clarck *et al.*[21], the inner loop stabilizing Stable Predictive Control [22], and so on.

MPC refers to a class of computer control algorithms which use an explicit process model to predict the future response of system. One way to design MPC is to use an extended state-space representation, which is given by:

$$\begin{cases} \dot{X}_{k+1} &= AX_k + B\Delta u_k \\ Y_k &= CX_k \end{cases} \quad (5)$$

where $\Delta u_k = u_k - u_{k-1}$ is the discrete difference operator. The predicted state vector at time $k+i$ is then computed from [23]:

$$\hat{X}_{k+i|k} = A^i X_{k|k-1} + \sum_{j=0}^{i-1} A^j B u_{k-j-1} \quad (6)$$

Then, the future outputs $Y_{k+j|k}$ can be computed based on the plant for future times starting at time k using a recursion procedure, that is:

$$\hat{Y}_{k+i|k} = C \left(A^i X_{k|k-1} + \sum_{j=0}^{i-1} A^{i-j-1} B u_{k-j-1} \right) \quad (7)$$

Design criterion is defined for certain interval of predictions (several steps to future). It includes the part of control error, in which the model of system is covered (insertion of equations of prediction (7)) and part of control actions, where the input energy (control actions) is weighted. This part redistributes control errors to individual steps of predictions and provides coupling within interval of predictions. Usual form of the criterion for predictive design is written as follows:

$$J_k = \sum_{j=N_1+1}^{N_2} [Y_{k+j} W_{k+j}^T Q_Y Y_{k+j} W_{k+j}] + \sum_{j=1}^{N_u} [u_{k+j-1}^T Q_u u_{k+j-1}] \quad (8)$$

The criterion is expressed in step k . $N = N_2 - N_1$ is a horizon of prediction, N_u is the control horizon. Q_Y and Q_u are output and input penalizations. Y_{k+j} and u_{k+j-1} are output and input (full or incremental) values.

Finally, let us note how to construct real control actions at incremental algorithm: after computing a vector for whole horizon, only first control u_k is used; then to obtain the full control actions the second line of equation (5) is applied.

When using MPC in the state-space formulation and generally at the use of whichever stat-space control, it is necessary to solve the question of availability of the state of the system (state vector). If it is not available and only system output from the measurement are known, then some state-space estimation has to be considered. Suitable, well-known solution of such estimation is the state space observer based on Kalman filter [24].

IV. RESULTS

A. Navigation Path Extraction

The FMM algorithm, introduced by Sethian [18] is applied here to extract a targeted navigation path within the vessel network. Hence, from the set of 3D MRI data we have first to compute a speed map (ie. a weighting image map), which must enhance the relevant intravascular network. Choosing an appropriate and efficient image cost function is the most difficult part of the entire process.

We describe in the sequel presented in Fig. 5, the process used to extract navigation path. First, we need a relevant cost function which allow to enhance vessel in the image. To this aim we use some *a priori* knowledge about vessel

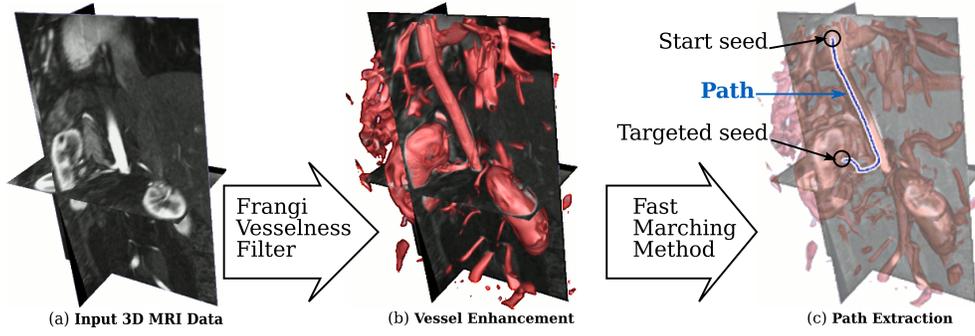


Fig. 5. 3D Navigation path extraction processing: a) Original 3D MRI data; b) Computed cost function using Frangi filter (here displayed in red volume); c) Extracted navigation path between the start and end seeds using FFM.

shape and intensity in MRI data (cf. Fig. 5). Vessels are expected to appear as bright tubular structures in a darker environment. One way to account for the varying size of vessels is by multiscale analysis. It allows us to detect structures of different sizes according to the scale at which they give maximal response. In this context, a typical speed image is produced by using a Frangi vesselness filter [25] which uses the eigenvectors of the Hessian matrix at each voxel of the image to compute the likeliness of an image region to vessels. This mapping is selected in such a way that vessels regions will have higher speed (high level in speed image, see Fig. 5). Once the speed map is generated, the user has to select a start and end points (ie. seed points) in the viewer of the input original image. The FFM will then propagate a front from the start seed and traveling to the targeted area, thanks to the speed map. Thus, this approach allow to find a minimal navigation path between the start and targeted seed (cf. Fig. 5).

B. Microdevice Navigation using Predictive Control

Simulations are conducted within the scope of actual commonly spread MRI system abilities. At the moment, MRI systems are able to generate magnetic gradients with an intensity of some tens of $\text{mT}\cdot\text{m}^{-1}$. Let us note that this limitation is additionally affected by the gradient coils duty cycle and by the multiplexing needed both for controlling and observing. In order to make sure that the amplitude of the control inputs remains bounded by physical actuators limits $u_{i,\max}$ and to protect the system, we perform a simple time scaling. Thus, the applied control law is given by $\frac{u_i}{k(t)}$, with $k(t) = \max\left\{1, \frac{u_i}{u_{i,\max}}\right\}$. The set of simulations corresponds to microcapsule's radius of $r = 300 \mu\text{m}$ and a time scaling settled at $k(t) = 0.55$. Different situations are considered in this section to illustrate and validate the performance and robustness of the proposed MRI-based predictive controller shown on Fig. 4. We validated the proposed control strategy on 3D endovascular navigation path extracted from MRI-data with the method presented previously. Furthermore, to evaluate the efficiency of the proposed MRI-based predictive controller, we have performed some tests with a white Gaussian noise on the system output measure Y .

Fig. 6 present the trajectories followed by the microrobot,

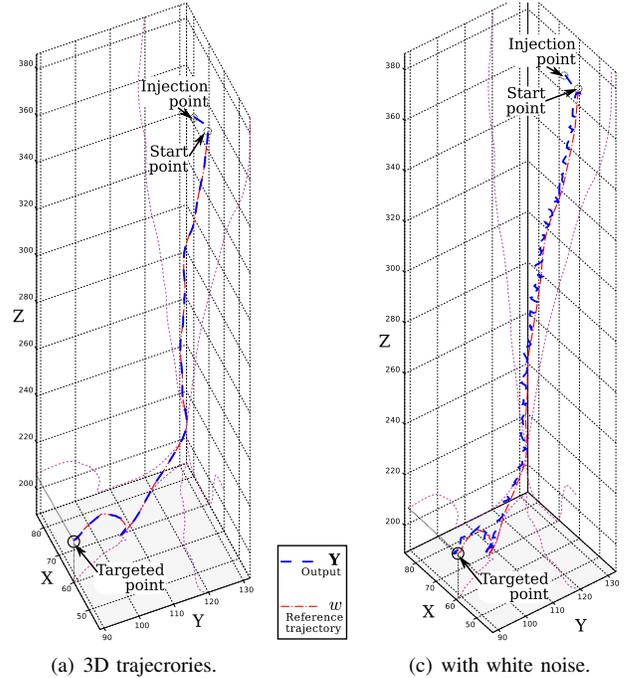
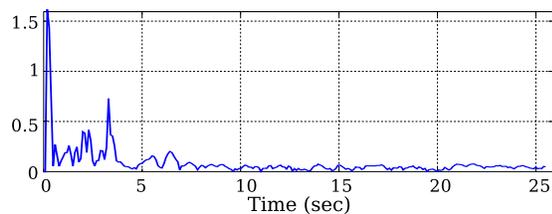


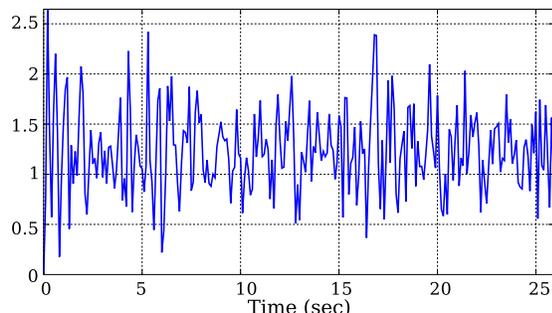
Fig. 6. 3D MRI-based microrobot endovascular navigation ($N = 5$, in mm).

and Fig. 7 describe the relative error norm between the current position Y and the reference w , for $N = 5$. As one can see the system output Y follows perfectly the reference trajectory w . In particular, the microdevice is able to reach quickly the navigation path, in spite of a gap between the initial position Y and the begin of reference w .

Let us notice that that the 3D tracking is not too much affected by the noise, since position standard deviation (std) and root mean square (RMS) error are quite satisfactory. Fig. 8 shows the impact of the time horizon N on the system tracking error. As one can see, for our system, N must be choose between 3 and 10. Moreover, comparing the error statistics, the nature of anticipation of the MPC scheme is illustrate, that is greater is N more is anticipate the path behaviour, increasing the trajectory tracking error. Hence, a great value of N does not necessarily guarantee good performance, and classically increase the complexity of the scheme.



(a) Without noise



(b) With white noise

Fig. 7. 3D Tracking error: $\|Y - w\|$ ($N = 5$).

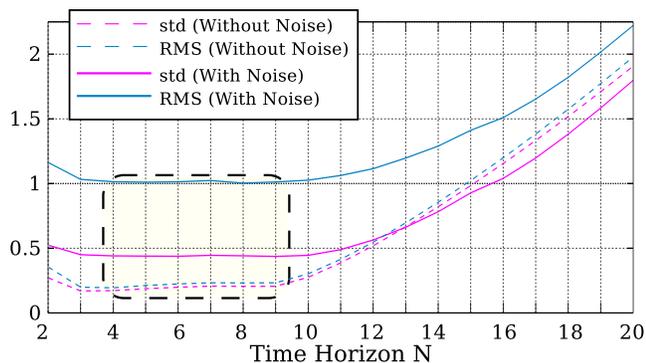


Fig. 8. Tracking error statistics for different time horizon N .

V. CONCLUSIONS

The proposed MRI-based ferromagnetic microcapsule steering and navigation strategy has been developed at milliscale and microscale, where endovascular navigation path extraction and predictive controller have been designed. The main drawback of MRI-based navigation stems from the strong limitations on the magnetic gradient amplitude of available MRI devices. As magnetic forces used for propelling are volumetric, whereas the drag force is at best dependent on the microcapsule's area, the smaller the capsule, the higher the required control forces with respect to hydrodynamic perturbations. Consequently, this approach is well conditioned for beads whose radius is up to a few dozen micrometers with actual MRI devices. Targeting aims at focusing these micro-carriers and stopping them through embolization at the arterioles entry close to the occluded blood vessels. Possible releasing mechanisms could rely on biodegradable polymer and techniques used in hyperthermia where aggregates of nanocapsules can be heated to melt polymer. Such a solution is actually under experimentation

for validation of the proposed minimally invasive MRI-based microrobotic system.

VI. ACKNOWLEDGMENTS

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